

<p>5.2</p>	<p>Goals and Objectives. Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>Goal One: Create an Effective and Proactive Organization Objectives: Continue to develop staff capacity; Update the performance appraisal/compensation study; Improve business systems; Increase Section 3 participation by 20%; Continue to ensure transparency in agency operations.</p> <p>Goal Two: Maintain Comprehensive Services and Support Team Objectives: Improve program administration with measurable outcomes in all areas; Seek funding for supportive services programs from a variety of sources each fiscal year; Improve processes of community outreach for assisting residents in self-sufficiency opportunities; Continue to support and assist victims of domestic violence, dating violence, sexual assault and stalking as identified in the attached VAWA plan.</p> <p>Goal Three: Improve the Internal and External Image of the HHA Objectives: Continue customer assurance verification program; Maintain high-performer status under PHAS and SEMAP; Maintain Financial Assessment Score; Establish an internal auditing function.</p> <p>Goal Four: Continue to Improve and Implement Plans for Housing and Financial Diversity. Objectives: Pursue opportunities for acquiring and managing affordable rental properties to supplement existing inventory; Establish business opportunities, independent of HHA, to provide all levels of housing inspections for both Section 8 and public housing; Develop opportunities to general positive cash flows utilizing obsolete HHA non-dwelling properties; Pursue new financial opportunities by partnering with developers who will benefit from the HHA’s non-profit status when issuing development bonds; Improve diversity of housing stock.</p> <p>Goals and Objectives from the previous 5-Year Plan were met. Many of the previous goals and objectives are on-going activities and are included above for continuation for the next five years.</p>
<p>6.0</p>	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: Revisions captured in Significant Amendments to 2009-2010 Annual Plan. Such changes included:</p> <ol style="list-style-type: none"> 1. Eligibility, Selection and Admissions Policies, including De-concentration and Wait List Procedures: The only change to the PHA Plan regarding the PHA Plan elements is the establishment of a Site-based waiting list for newly acquired properties and revitalized developments. The revised policy is attached to this Plan. Families may be on all waiting lists simultaneously. Interested persons may obtain more information about and sign up to be on the site-based waiting list at the HHA main administrative office during normal business hours. 2. Annual Statement and Five-Year Plan have been updated to include Capital Fund Recovery Stimulus formula grant activities. 3. HHA will submit an application for HOPE VI for the Brookside public housing community. 4. HHA will apply for demolition/disposition approval from the Special Application Center for the Brookside public housing community. <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Main administrative office of HHA; each Asset Management Project (AMP); HHA website at www.huntsvillehousing.org</p>

	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>(a) Hope VI or Mixed Finance Modernization or Development. 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals.</p> <p>HHA may apply for HOPE VI or Mixed Finance Modernization or Development at (1) Sparkman Homes (AL47-03 with 170 units); (2) Butler Terrace (AL47-02 with 170 units); (3) Butler Terrace Addition (AL47-04 with 84 units); (4) Lincoln Park (AL 47-05B with 194 units); (5) Searcy Homes (AL47-10 with 78 units); and (6) Brookside (AL47-5A with 72 units). The timetable will be determined upon issuance of the NOFA.</p> <p>(b) Demolition and/or Disposition. With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process.</p> <p>HHA is in the process of submitting an application for disposition of the Central Maintenance Facility (a part of AL 47-11) at 2100 Langford Avenue and the Central Office Facility (also a part of AL 47-11) located at 200 Washington Street to the Central Office Cost Center. No dwelling units will be affected by the proposed reassignment. The application will be submitted prior to June 30, 2010. Upon HUD approval, HHA will transfer title and value of the assets immediately to the Central Office Cost Center. HHA may apply for demolition or disposition approval for the following sites: (1) Sparkman Homes (AL47-03 with 170 units); (2) Butler Terrace (AL47-02 with 170 units); (3) Butler Terrace Addition (AL47-04 with 84 units); (4) Searcy Homes (AL47-10 with 78 units); (5) Lincoln Park (AL 47-05B with 194 units); and (6) Brookside (AL47-5A with 72 units). These potential applications are dependent upon funding and HUD approval, therefore, an accurate timetable is not available at this time.</p> <p>(c) Conversion of Public Housing. With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion.</p> <p>HHA may engage in the process of voluntarily converting Butler Terrace and/or Butler Terrace Addition to tenant-based assistance. However, as HHA is a high performing agency, it will follow the regulations governing voluntary conversion for high performing agencies.</p> <p>(d) Homeownership. A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.</p> <p>HHA has received Neighborhood Stabilization Program funds from the Alabama Department of Economic and Community Affairs for the acquisition and rehabilitation of approximately 30 foreclosed single family homes.</p> <p>(e) Project-based Vouchers. If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.</p> <p>HHA may wish to use the project-based voucher program for approximately 150 units. HHA is working to de-concentrate poverty in the city of Huntsville and there are a limited number of landlords willing to accept vouchers in many of the desirable communities. HHA intends to use the voucher program to guarantee affordability in Huntsville. Additionally, HHA would like to guarantee affordability of housing in neighborhoods throughout Huntsville so that residents may benefit from high-performing schools and other quality of life factors.</p> <p>(f) Other.</p> <p>HHA is in the process of acquiring multi-family units throughout the city of Huntsville. HHA may request that these units be ACC units or HCV units. These units may be purchased with NSP funds, Capital Funds and/or disposition proceeds where previously approved by HUD.</p>
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.
8.3	Capital Fund Financing Program (CFFP). <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

<p>9.0</p>	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>There are 456 families on the public housing waiting list and 2,237 families on the Section 8 waiting list. The Consolidated Plan from the City of Huntsville indicates the following needs for years 2005-2009:</p> <p>Based on the Economic and Market Analysis Division – HUD Special Tabulation of 2000 Census Data, there were 38,751 rental households. Of those, 31,794 or 82% had an annual income below \$50,000. Of those, 5,088 householders were 62 or older with 3,609, or 71% with incomes below \$25,000. The HUD 2005 Fair Market Rent (FMR) for the city of Huntsville was \$443 for a one bedroom, \$523 for a two bedroom, \$716 for a three bedroom, and \$786 for a four-bedroom rental unit. An extremely low-income householder earning 30% of Huntsville MFI of \$61,250 for a family of four - \$18,400 can afford monthly rent of no more than \$459. (HUD publication 2-2005). A four-person household receiving the maximum Temporary Assistance for Needy Families (TANF) grant can afford monthly rent of no more than \$50. The affordability index is based on an expenditure of 30 percent of gross income for housing expense. The median monthly housing costs for (specified) mortgaged owners was \$933, (specified) non-mortgaged owners \$237, and (specified) renters \$502. Seventeen percent of owners with mortgages, 12 percent of owners without mortgages, and 47 percent of renters in Huntsville spent 30 percent or more of household income on housing (U.S. Census Bureau – American Community Survey 2003).</p> <p>Extremely Low-Income: (0% to 30% of the median family income) According to the 2000 Census 9,035 (13%) of the households in Huntsville are occupied by extremely low income individuals of which, 1,074 (11%) are elderly owners, 1,147(12%) are elderly renters, 505 (5%) are small related owner households (2 to 4 family members), 2,474 (27%) are small related renters, 127 (1%) are large family owners, and 353 (3%) are large related renter households (5 or more family members).</p> <p>Low Income Households: (31% 50% of the median family income): According to the 2000 Census, 7,486 (11%) of the households in Huntsville were occupied by low income households of which, 569 (7%) were elderly renters, 1,253 (16%) were elderly owners, 1,664 (22%) were small related renter households (2 to 4 family members), 262 (3%) are large related renter households (5 or more family members), 1,027 (13%) were small owner households, and 200 (2%) were large owner households.</p> <p>Moderate Income (51% to 80% MFI) According to the 2000 Census, 10,760 or 16% of households in Huntsville were occupied by households with incomes between 51% to 80% of the median family income (MFI). Of these, 494 (4%) were elderly renters, 1,962 (18%) were elderly owners, 2,026 (18%) were small related renters, 316 (2%) were large related renters, 2,226 or 20% were small family owners, and 392 (3%) were large family house owners.</p> <p>The 2000 Census data indicated that extremely low and low-income large family renters have the greatest number of housing problems of all household types. Accordingly, 87.4% of extremely low- and low income large family renters experience some type of housing problem, with 84.3% expending greater than 30% of their income for housing costs. Large family renters are more likely to live in overcrowded conditions, which can be contributed to an inadequate supply of three bedroom rental units that are affordable at less than 50% of the Median Family Income. Extremely low and low-income small family renters expended the greatest percentage of their income for housing, and represented the largest category of households. The 2000 Census reported that 49.4% of the 4,138 small family renters expended more than 50% of their income for housing costs, indicating a demand for more subsidized housing units. Small and large family owner households also experienced significant housing cost burdens. 63.7% expended greater than 50% of their income for housing costs because of the added cost burden of property taxes, insurance and home maintenance. An analysis of extremely low and low-income households indicates an overall need for additional Section 8 certificates and vouchers, and more rental housing units affordable at 30 percent of the Median Family Income (MFI), for both small and large family renters. Extremely low and low-income homeowners are in need of grants or below market interest rate renovation loans to attenuate excessive costs burdens associated with home maintenance. According to the 2000 Census, 41 percent of extremely low and low-income homeowners expended greater than 50 percent of their income for housing.</p> <p>According to the 2000 Census, 23.2 percent of all moderate-income households experienced some type of housing problems. Of this group, large family renters had the greatest need, with forty-three percent experiencing some type of housing problem. Because only 19.7 percent of this group expended greater than 30 percent, and three percent expended greater than 50 percent of their income for housing costs, indications are that substandard housing and overcrowded conditions, as opposed to housing costs, constitute this group’s housing needs. The greatest need of moderate-income households appears to be upgrading existing housing units through rehabilitation and modernization in order to maintain the existing affordable housing stock by eliminating substandard conditions.</p> <p>Additional needs include new construction of assisted multi-family housing units provided either by direct rent subsidies or below market rental rates through favorable permanent financing terms for developers. It has become increasingly difficult for moderate-income residents to locate standard condition affordable housing. Within the next five years, the City of Huntsville does not anticipate a significant change in its projected needs for moderate-income families.</p> <p>The City of Huntsville Consolidated Plan for years 2010-2014 has not been posted and demographic data based on the 2000 Census will not be changed prior to the 2010 Census. HHA has identified a tremendous need for housing for families at 80% and below the AMI and section 9.1 addresses the strategies HHA is using to meet the need.</p>
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<p>9.1</p>	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>HHA continues to work to address the housing needs of the citizens within the HHA jurisdiction by offering additional affordable housing options, applying for funds to increase and/or improve the affordable housing stock in the jurisdiction, redeveloping outdated housing stock in our traditional public housing communities and engaging in outreach activities targeting special populations. Additionally, HHA is acquiring properties, single-family and multi-family, throughout the jurisdiction to address locational needs/preferences.</p>
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<p>10.0</p>	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. HHA has made significant progress in meeting its mission and goals as identified in the previous five year plan. The new five year plan incorporates such activities as were determined to be “on-going” as is more completely addressed in section 5.2.</p> <p>(b) Significant Amendment and Substantial Deviation. Provide the PHA’s definition of “significant amendment” and “substantial deviation” It is the intent of the Huntsville Housing Authority to adhere to the mission, goals and objectives outlined in the five-year strategic plan. The plan, however, will be modified should a substantial deviation from program goals and objectives occur. The HHA defines substantial deviations as:</p> <ul style="list-style-type: none"> • Any change in the planned or actual use of federal funds for activities that would prohibit or redirect the HHA’s strategic goals of increasing the availability of decent, safe and affordable housing for the citizens of the jurisdiction served; • Any single or cumulative annual change in the planned or actual use of federal funds as identified in the five-year plan that exceeds 20% of the HHA annual program budgets for HCV or public housing activities; • A mandate from the Board of Commissioners of the HHA to modify, revise, or delete the long-range goals and objectives of a program; • A need to respond immediately to events beyond the control of the HHA, such as earthquakes, civil unrest, or other unforeseen significant event. <p>A substantial deviation does not include any changes in HUD rules and regulations which require or prohibit changes to activities listed herein. The 5-Year Plan will be modified and re-submitted to HUD via correspondence from the Executive Director/CEO, and upon approval of the Board of Commissioners of the HHA. A substantial deviation will not trigger the same submittal requirements as a Significant Amendment.</p> <p>A Significant Amendment to the PHA 5-Year or Annual Plan is defined as:</p> <ul style="list-style-type: none"> • Changes of a significant nature to the rent or admissions policies or the organization of the waiting lists not required by federal regulatory requirements, as to effect a change in the HCV Administrative Plan or the Public Housing Admissions and Continued Occupancy Policy (ACOP); • A change in the planned or use of funds under the Capital fund that exceeds 20% of the HHA’s total annual budget. <p>A Significant Amendment to the 5-Year or Annual Plan will meet the following requirements:</p> <ul style="list-style-type: none"> • The PHA will consult with the Resident Advisory Board (RAB) as defined in 24 CFR 903.13; • The PHA will ensure consistency with the Consolidated Plan of the jurisdiction as defined in 24 CFR 903.15; • The PHA will provide for a review of the amendments/modifications by the public during a 45-day public review period as defined in 24 CFR 903.17; • The PHA will not adopt the amendment until the PHA has duly called an open meeting of the Board of Commissioners where the amendment is adopted; • The PHA will not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD’s plan review procedures as in defined at 24 CFR 903.23.
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<p>11.0</p>	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.